



State of California
Secretary of State

ATHLETE AGENT DISCLOSURE STATEMENT

A \$30.00 filing fee must accompany this form.

When completing form, please type or print in black ink.

IMPORTANT-- Read instructions before completing this form.

FILING OF FALSE, MISLEADING, OR INCOMPLETE STATEMENTS ON THIS FORM MAY
SUBJECT YOU TO CRIMINAL AND CIVIL PENALTIES UNDER THE MILLER-AYALA ATHLETE
AGENTS ACT, CHAPTER 2.5 (COMMENCING WITH SECTION 18895) OF DIVISION 8 OF
THE BUSINESS AND PROFESSIONS CODE.

This Space For Filing Use Only

1. <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> ENTITY	
2. STATE NAME OF ATHLETE AGENT	
3. STATE STREET ADDRESS OF ALL LOCATIONS WHERE BUSINESS IS TO BE CONDUCTED, INCLUDING CITY, STATE, AND ZIP CODE (ATTACH ADDITIONAL PAGES IF NECESSARY.) A. B. C.	
4. NAME THE DESIGNATED AGENT FOR SERVICE OF PROCESS AND CHECK THE APPROPRIATE PROVISION BELOW: _____, WHICH IS [] AN INDIVIDUAL RESIDING IN CALIFORNIA. PROCEED TO ITEM 5. [] A CORPORATION WHICH HAS FILED A CERTIFICATE PURSUANT TO SECTION 1505 OF THE CALIFORNIA CORPORATIONS CODE. PROCEED TO ITEM 6.	
5. IF AN INDIVIDUAL, STATE STREET ADDRESS OF THE AGENT FOR SERVICE OF PROCESS IN CALIFORNIA CITY STATE ZIP CODE <div>CA</div>	
6. LIST ALL BUSINESSES AND/OR OCCUPATIONS ENGAGED IN FOR THE TWO YEARS PRECEDING THE DATE OF THIS FILING (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
PLEASE CHECK YES OR NO TO QUESTIONS 7 THROUGH 11.	
7. HAS THE ATHLETE AGENT EVER BEEN CONVICTED OF A FELONY?	YES <input type="checkbox"/> NO <input type="checkbox"/>
8. HAS THE ATHLETE AGENT EVER BEEN CONVICTED OF A MISDEMEANOR INVOLVING A VIOLATION OF CHAPTER 2.5 OF DIVISION 8 OF THE BUSINESS AND PROFESSIONS CODE, OR CHAPTER 1 (COMMENCING WITH SECTION 1500) OF PART 6 OF DIVISION 2 OF THE LABOR CODE AS REPEALED BY CHAPTER 857 OF THE STATUTES OF 1996, OR THE LAW OF ANY OTHER STATE GOVERNING ATHLETE AGENTS?	<input type="checkbox"/> <input type="checkbox"/>
9. HAS THE ATHLETE AGENT EVER BEEN CONVICTED OF FRAUD, THEFT, EMBEZZLEMENT, FRAUDULENT CONVERSION, OR MISAPPROPRIATION OF PROPERTY?	<input type="checkbox"/> <input type="checkbox"/>
10. HAS THE ATHLETE AGENT EVER MADE ANY APPEARANCES BEFORE ANY DISCIPLINARY OR PROFESSIONAL BOARD, ASSOCIATION, SECRETARY, COMMITTEE, OR OTHER ENTITY AS A RESULT OF DISCIPLINARY CHARGES OR OTHER ALLEGATIONS OF MISCONDUCT AGAINST THE ATHLETE AGENT? IF YES, EXPLAIN THE OUTCOME OF THOSE PROCEEDINGS. (ATTACH ADDITIONAL PAGES IF NECESSARY)	<input type="checkbox"/> <input type="checkbox"/>
11. HAS ANY STUDENT ATHLETE OR ANY EDUCATIONAL INSTITUTION BEEN SANCTIONED, SUSPENDED, OR DECLARED INELIGIBLE TO PARTICIPATE IN ONE OR MORE INTERSCHOLASTIC OR INTERCOLLEGIATE ATHLETIC EVENTS IN ANY PROCEEDING ARISING FROM, OR RELATED TO, THE ACTIONS OF THIS ATHLETE AGENT?	<input type="checkbox"/> <input type="checkbox"/>
COMPLETE EITHER 12 OR 13 WHICHEVER IS APPROPRIATE:	
12. NAME THE INSURER PROVIDING THE SECURITY REQUIRED BY SECTION 18897.87(a) AND STATE THE AMOUNT OF THE INSURANCE COVERAGE, IF PROVIDED CONSISTENT WITH THE REQUIREMENTS OF SECTION 18897.87(a)	
13. SPECIFY THE TYPE, VALUE AND SPECIFIC LOCATION OF SECURITY, IF PROVIDED CONSISTENT WITH THE REQUIREMENTS OF SECTION 18897.87(b)	
14. LIST ALL PAST AND PRESENT PERSONS ON BEHALF OF WHOM THE ATHLETE AGENT OR INDIVIDUAL HAS ACTED AS AN ATHLETE AGENT. (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
15. LIST THE NAMES OF ANY PLAYERS' ASSOCIATIONS WITH WHOM THE ATHLETE AGENT IS REGISTERED. (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
16. LIST AT LEAST THREE REFERENCES. (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
17. LIST ALL AFFIDAVITS OR CERTIFICATES OF COMPLETION OF ANY AND ALL FORMAL TRAINING OR PRACTICAL EXPERIENCE IN ANY OF THE FOLLOWING SPECIFIC AREAS: CONTRACTS, CONTRACT NEGOTIATION, COMPLAINT RESOLUTION, ARBITRATION, OR CIVIL RESOLUTION OF CONTRACT DISPUTES (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
18. LIST THE NAMES AND RESIDENTIAL ADDRESSES OF ALL PERSONS FINANCIALLY INTERESTED IN THE OPERATION OF THE BUSINESS OF THE ATHLETE AGENT, WHETHER AS EMPLOYEES, PARTNERS, INVESTORS, ASSOCIATES, OR PROFIT SHARERS, OR IN ANY OTHER MANNER (ATTACH ADDITIONAL PAGES IF NECESSARY) A. B. C.	
19. ATTACH A SCHEDULE OF FEES TO BE CHARGED AND COLLECTED IN THE CONDUCT OF THE ATHLETE AGENT BUSINESS.	
SECTION 20A THROUGH C APPLICABLE ONLY IF THE ATHLETE AGENT IS AN INDIVIDUAL	
20. A. RESIDENCE ADDRESS OF ATHLETE AGENT CITY STATE ZIP CODE	
B. SOCIAL SECURITY NUMBER OF ATHLETE AGENT	C. DRIVER'S LICENSE NUMBER OF ATHLETE AGENT
21. I DECLARE THAT THIS DISCLOSURE STATEMENT IS TRUE, CORRECT AND COMPLETE.	
SIGNATURE DATE	
TYPE OR PRINT NAME AND TITLE	
SEC/STATE (Rev. 03/2005) FORM SF-AA – FILING FEE: \$30.00 Approved by Secretary of State	

INSTRUCTIONS FOR COMPLETING THE ATHLETE AGENT DISCLOSURE STATEMENT

DO NOT ALTER THIS FORM

Type or legibly print in black ink.

- **IMPORTANT:** Each athlete agent company, corporation, association, partnership or limited liability company **must** file an athlete agent disclosure statement with the Secretary of State. In addition, each individual acting individually and/or as an athlete agent within a company, corporation, association, partnership or limited liability company **must** file an athlete agent disclosure statement with the Secretary of State.
- Attach the thirty dollar (\$30.00) fee for filing the Disclosure Statement with the California Secretary of State.
- Attach the schedule of fees to be charged and collected in the conduct of this athlete agent business.
- Refer to Business and Professions Code Section 18895.2 for comprehensive definitions and exceptions to the definitions of athlete agent and person.
- **Filing of false, misleading, or incomplete statements on this form may subject you to criminal and civil penalties under the Miller-Ayala Athlete Agents Act, Chapter 2.5 (commencing with Section 18895) of Division 8 of the Business and Professions Code.**
- Within seven days of the time any information in the filing required by Section 18896 changes, the athlete agent shall file revised information on an Amendment to Disclosure Statement. No revision of a fee schedule shall be effective until it is filed with the Secretary of State.
- Send the executed document to:
California Secretary of State
Special Filings Unit
P.O. Box 942877
Sacramento, CA 94277-0001
- Fill in the items as follows:

Item 1. Check if the athlete agent is an individual or an entity.

Item 2. Enter the name of the athlete agent.

Item 3. Enter the street address of all locations where the athlete agent's business is to be conducted.

Item 4. Enter the name of the designated agent for service of process in California. The agent for service of process must be an individual residing in California or a corporation which has filed a certificate pursuant to Section 1505 of the California Corporations Code. Check the appropriate provision.

Item 5. If an individual is designated as the agent for service of process, enter the business street address in California. DO NOT enter a P.O. Box, "in care of" (c/o), or abbreviate the name of the city. DO NOT enter an address if a corporation is designated as the agent for service of process.

Item 6. List all businesses and/or occupations which the athlete agent has engaged in for the two years preceding the date of this filing.

Item 7-11. Check "yes" or "no" for questions 7-11 and if #10 is checked "yes", explain the outcome of any appearances before any disciplinary or professional board, association, secretary, committee, or other entity as a result of disciplinary charges or other allegations of misconduct against the athlete agent on additional pages.

Item 12. Every athlete agent shall provide security for claims against the athlete agent or the athlete agent's representatives or employees based upon acts, errors, or omissions arising out of the business of the athlete agent. List the name of the insurer providing that security and specify the amount of the insurance coverage, if provided, consistent with Section 18897.87(a)

Item 13. If security is provided consistent with Section 18897.87(b), specify the type, value and location of the security.

If security is being provided with a combination of the options in #12 and 13, complete both items in full.

Item 14. List all past and present persons on behalf of whom the athlete agent has acted as an athlete agent. Attach additional pages if necessary.

Item 15. List the names of all players' associations with whom the athlete agent is registered. Attach additional pages if necessary.

Item 16. Provide at least three references. Attach additional pages if necessary.

Item 17. List any and all affidavits or certificates of completion of any and all formal training or practical experience in any of the following specific areas: contracts, contract negotiation, complaint resolution, arbitration, or civil resolution of contract disputes. Attach additional pages if necessary.

Item 18. List the names and residential addresses of all persons financially interested in the operation of the business of the athlete agent, whether as employees, partners, investors, associates, or profit sharers, or in any other manner. Attach additional pages if necessary.

Item 19. Attach a schedule of fees to be charged and collected in the conduct of the athlete agent business.

Item 20. In boxes 20A-C, if the athlete agent is an **individual**, enter the athlete agents' residential address, social security number and driver's license number.

Item 21. The application must be signed with an original signature.

- All references are to Sections 18895 – 18997.97 of the Business and Professions Code, unless otherwise indicated.
- For further information contact the Special Filings Unit at (916) 653-3984.